

Duality and Rational Modules in Hopf Algebras over Commutative Rings¹

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Let A be an algebra over a commutative ring R . If R is noetherian and A° is pure in R^A , then the categories of rational left A -modules and right A° -comodules are isomorphic. In the Hopf algebra case, we can also strengthen the Blattner–Montgomery duality theorem. Finally, we give sufficient conditions to get the purity of A° in R^A . © 2001 Academic Press

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INTRODUCTION

It is well known that the theory of Hopf algebras over a field cannot be trivially passed to Hopf algebras over a commutative ring. For instance let us consider $\mathbb{Z}[x]$ as Hopf algebra and let α be the Hopf ideal generated by $\langle 4, 2x \rangle$. Let H be the Hopf \mathbb{Z} -algebra $H = \mathbb{Z}[x]/\alpha$. The finite dual is zero in this situation. However, $H \cong \mathbb{Z}_4[x]/\langle 2x \rangle$, so we can view H as a Hopf \mathbb{Z}_4 -algebra. If I is a \mathbb{Z}_4 -cofinite ideal of H then every element nonzero in

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H/I has order 2, which implies every element in H° has order 2. In this situation H° is not pure in $\mathbb{Z}_4^H = \text{Map}(H, \mathbb{Z}_4)$ as a \mathbb{Z}_4 -module (since H° is not free) and a canonical \mathbb{Z}_4 -coalgebra structure on H° cannot be expected.

A basic result from the theory of coalgebras over fields is that the comodules are essentially rational modules. Thus, given a (left) non-singular pairing of a coalgebra C and an algebra A (see [8]), the categories of rational left A -modules and right C -comodules are isomorphic. This applies in particular for the canonical pairings (C, C^*) and (A°, A) derived from a coalgebra C and an algebra A , respectively. An attempt to develop systematically the theory of rational modules associated to a pairing (C, A) , where C is a coalgebra and A is an algebra over an arbitrary commutative ring R , is [4]. A corollary of the theory developed there is that if C is projective as an R -module, then the category of right C -comodules is isomorphic to the category of rational left modules over the convolution dual R -algebra $C^* = \text{Hom}_R(C, R)$ (this result was independently obtained in [13] by means of a different approach). However, the results of [4] are proved in a framework which does not allow us to apply them directly to the pairing (A°, A) , for a given R -algebra A . In fact, the first problem is to endow the finite dual A° with a comultiplication, which entails some serious technical difficulties at the very beginning due to the lack of exactness of the tensor product bifunctor $- \otimes_R -$. Nevertheless, it has been recently proved in [1, Theorem 2.8] that if R is noetherian and A° is pure in the R -module R^A of all maps from A to R , then A° is a coalgebra. We have observed that the notion of rational pairing introduced in [4] can be restated in order that the methods developed there can be applied to the pairing (A°, A) to prove that the category of right A° -comodules is isomorphic to the category of rational left A -modules. This applies, in particular, for any algebra A over a hereditary noetherian commutative ring. We explain our general theory of rational modules and comodules in Sections 2 and 3.

We apply our methods to strengthen the Blattner–Montgomery duality theorem for Hopf algebras over commutative rings. Let H be a Hopf algebra over the commutative ring R . When R is a field, the Blattner–Montgomery duality theorem says that if U is a Hopf subalgebra of H° , A is an H -module algebra such that the H -action is locally finite in a sense appropriate to the choice of U , H and U have bijective antipodes, and there is a certain right–left symmetry in the action of $H\#U$ on H , then

$$(A\#H)\#U \cong A \otimes (H\#U).$$

There are two proofs of this theorem in the literature. The first one appeared in [2, Theorem 2.1], and a new one, due to Blattner, appears in [5, Theorem 9.4.9]. Since, in this situation, the U -comodules are just the U -locally finite H -modules, it is easy to see that the two theorems are

equivalent. In the case of a general commutative ring R , there is a similar theorem due to Van den Bergh (see [11]) when H is finitely generated and projective over R . A generalization of [2] for Hopf algebras over a Dedekind domain R was proven by Chen and Nichols, under the technical condition that U is R -closed in H° (see [3, Theorem 5]). This condition guarantees that every U -locally finite is rational. However, it is not evident that [3, Theorem 5] generalizes [5, Theorem 9.4.9].

We show that the ideas used in the proof of [5, Theorem 9.4.9], together with our results on rational modules and comodules, can be combined to get a duality theorem for Hopf algebras over a noetherian commutative ring R which generalized both [5, Theorem 9.49] and [3, Theorem 5] and, hence, [2, Theorem 2.1].

In Section 4 we introduce a class of R -algebras $P_\ell \text{Alg}_R$ (in case R is noetherian) which satisfies the property that $A^\circ \subset R^A$ is an R -pure submodule for each $A \in P_\ell \text{Alg}_R$ and, hence, the canonical pairing $(A^\circ, A, \langle -, - \rangle)$ is a rational pairing. We give several examples of such algebras, among them the polynomial algebra $R[x_1, \dots, x_n]$ and the algebra of Laurent polynomials $R[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$.

1. PRELIMINARIES AND BASIC NOTIONS

In this paper R is a commutative ring with unit. Let A be an associative R -algebra with unit. The category of all left A -modules is denoted by ${}_A \mathcal{M}$. As usual, the notation $X \in \mathcal{C}$, where \mathcal{C} is a category, means X is an object of \mathcal{C} . By \otimes we denote the tensor product \otimes_R unless otherwise explicitly stated. Moreover, if $\pi \in S_n$ (the symmetric group on n symbols) then τ_π is the canonical isomorphism

$$\tau_\pi : M_1 \otimes \cdots \otimes M_n \longrightarrow M_{\pi(1)} \otimes \cdots \otimes M_{\pi(n)}.$$

Let M, X be R -modules. If N is an R -submodule of M then N is called X -pure if $N \otimes X \subseteq M \otimes X$. The inclusion $N \subseteq M$ is called pure if N is X -pure for all R -modules X . Unless otherwise stated, pure, projective, and flat mean pure, projective, and flat in ${}_R \mathcal{M}$.

Let \mathcal{A} be a Grothendieck category. A *preradical* for \mathcal{A} is a subfunctor of the identity endofunctor $id_{\mathcal{A}} : \mathcal{A} \rightarrow \mathcal{A}$. We follow [9] for categorical basic notions.

DEFINITION 1.1. An R -coalgebra is an R -module C together with two homomorphisms of R -modules

$$\Delta : C \rightarrow C \otimes C \text{ (comultiplication)} \quad \text{and} \quad \epsilon : C \rightarrow R \text{ (counit)}$$

such that

$$(id_C \otimes \Delta) \circ \Delta = (\Delta \otimes id_C) \circ \Delta$$

and

$$(id_C \otimes \epsilon) \circ \Delta = (\epsilon \otimes id_C) \circ \Delta = id_C.$$

DEFINITION 1.2. A right C -comodule is an R -module together with an R -homomorphism

$$\rho_M : M \rightarrow M \otimes C$$

such that

$$(id_M \otimes \Delta) \circ \rho_M = (\rho_M \otimes id_C) \circ \rho_M$$

and

$$(id_M \otimes \epsilon) \circ \rho_M = id_M.$$

Let M, N be right C -comodules. A homomorphism of R -modules $f : M \rightarrow N$ is said to be a comodule morphism (or C -colinear) if $\rho_N \circ f = (f \otimes id) \circ \rho_M$. By $\text{Hom}^C(M, N)$ we denote the R -module of all colinear maps from M to N . The right C -comodules with the C -colinear maps between them constitute an additive category denoted by \mathcal{M}^C . In case that C is a flat R -module, \mathcal{M}^C is a Grothendieck category (see [13, Corollary 3.15]).

For basic notions on coalgebras and comodules over commutative rings we refer to [4, 13].

2. RATIONAL MODULES

In [4], the theory of rational modules is developed under the assumption of the existence of the there-named rational pairing. The main example exhibited was (C^*, C) with C an R -projective coalgebra. Our aim is to deal with the finite dual of an R -algebra, so in this section we provide a weaker definition of rational pairing (in order to cover the finite dual example) which also implies the results on rational modules developed in [4].

2.1. Rational Systems. Let A, P be R -modules and let

$$\langle -, - \rangle : P \times A \rightarrow R$$

be a bilinear form. For every R -module M , define the R -linear map

$$\begin{aligned} \alpha_M : M \otimes P &\longrightarrow \text{Hom}_R(A, M) \\ m \otimes p &\longmapsto [a \mapsto m \langle p, a \rangle]. \end{aligned}$$

PROPOSITION 2.1. *In the previous situation the following statements are equivalent:*

(1) α_M is injective

(2) *If $\sum m_i \otimes p_i \in M \otimes P$, then $\sum m_i \otimes p_i = 0$ if and only if for every $a \in A$, $\sum m_i \langle p_i, a \rangle = 0$.*

Proof. Note that for each R -module M ,

$$\ker(\alpha_M) = \left\{ \sum m_i \otimes p_i \mid \sum \langle p_i, - \rangle m_i = 0 \right\}. \quad \blacksquare$$

DEFINITION 2.2. The three-tuple $(P, A, \langle -, - \rangle)$ is a *rational system* if α_M is injective for every R -module M .

Remark 2.3. By [4, Proposition 2.3] and Proposition 2.1, a rational system as defined in [4, Definition 2.1] is a rational system in the present setting.

Remark 2.4. Let $(P, A, \langle -, - \rangle)$ be a rational system. Let M be an R -module and let N be an R -submodule of M . Consider the following commutative diagram

$$\begin{array}{ccc} N \otimes P & \xrightarrow{\alpha_N} & \text{Hom}_R(A, N) \\ i_N \otimes id_P \downarrow & & \downarrow i \\ M \otimes P & \xrightarrow{\alpha_M} & \text{Hom}_R(A, M) \end{array}$$

Note that α_N is injective since the three-tuple $(P, A, \langle -, - \rangle)$ is a rational system, and $i : \text{Hom}_R(A, N) \rightarrow \text{Hom}_R(A, M)$, $g \mapsto i_N \circ g$ is injective. Hence $i_N \otimes id_P$ is injective. Since M was arbitrary in ${}_R\mathcal{M}$ we conclude that P should be flat as an R -module.

The following proposition replaces [4, Proposition 2.2] in order to show that the canonical comodule structure over a rational module is pseudocoassociative

PROPOSITION 2.5. *If $(P, A, \langle -, - \rangle)$ and $(Q, B, \langle -, - \rangle)$ are rational systems, then the induced pairing*

$$[-, -] : P \otimes Q \times A \otimes B \rightarrow R$$

defined by

$$\left[\sum p_i \otimes q_i, \sum a_j \otimes b_j \right] = \sum \langle p_i, a_j \rangle \langle q_i, b_j \rangle$$

is a rational system.

Proof. Consider the commutative diagram

$$\begin{array}{ccc} M \otimes P \otimes Q & \xrightarrow{\beta_M} & \text{Hom}_R(A \otimes B, M) \\ \downarrow \alpha_{M \otimes P} & & \downarrow \eta \\ \text{Hom}_R(B, M \otimes P) & \xrightarrow{(\alpha_M)_*} & \text{Hom}_R(B, \text{Hom}_R(A, M)) \end{array}$$

where η is the adjunction isomorphism, β_M is the mapping analogous to α with respect to the pairing $[-, -]$, and $(\alpha_M)_*$ is the homomorphism induced by α_M . This last morphism is monic and $\alpha_{M \otimes P}$ is monic. Therefore, β_M is a monomorphism. ■

For each set S , let R^S denote the R -module of all maps from S to R . If α_R is injective, then P is isomorphic to a submodule of $A^* = \text{Hom}_R(A, R) \subseteq R^A$. We identify the R -module P with its image in R^A , so every $p \in P$ is identified with the R -linear map $\langle p, - \rangle : A \rightarrow R$.

DEFINITION 2.6. We say P is *mock-projective* (relative to A and $\langle -, - \rangle$) if α_R is injective and for every $p_1, \dots, p_n \in P$ there are $a_1, \dots, a_m \in A$ and $g_1, \dots, g_m \in R^A$ such that for every $i = 1, \dots, n$, $p_i = \sum \langle p_i, a_l \rangle g_l$.

Proposition 2.1 can be improved under the assumption that P is mock-projective:

PROPOSITION 2.7. Assume P is mock-projective. If $M \in {}_R\mathcal{M}$, then $P \subseteq R^A$ is M -pure if and only if α_M is injective. Therefore, $(P, A, \langle -, - \rangle)$ is a rational system if and only if $P \subseteq R^A$ is pure.

Proof. Assume $P \subseteq R^A$ is M -pure and let $\sum m_i \otimes p_i \in M \otimes P$. Assume $\sum \langle p_i, a \rangle m_i = 0$ for all $a \in A$. By Definition 2.6 there are $a_1, \dots, a_m \in A$ and $g_1, \dots, g_m \in R^A$ such that

$$p_i = \sum \langle p_i, a_l \rangle g_l, \quad \text{for each } i = 1, \dots, n.$$

As $\sum m_i \otimes p_i \in M \otimes R^A$ we have

$$\begin{aligned} \sum m_i \otimes p_i &= \sum m_i \otimes \sum \langle p_i, a_l \rangle g_l = \sum m_i \langle p_i, a_l \rangle \otimes g_l \\ &= \sum (\sum m_i \langle p_i, a_l \rangle) \otimes g_l = \sum 0 \otimes g_l = 0, \end{aligned} \quad (1)$$

and by purity $\sum m_i \otimes p_i = 0$ as an element in $M \otimes P$. By Proposition 2.1, α_M is injective.

Assume now α_M is injective. The diagram

$$\begin{array}{ccc} M \otimes P & \xrightarrow{\alpha_M} & \text{Hom}_R(A, M) \\ id \otimes i \downarrow & & \downarrow i \\ M \otimes R^A & \xrightarrow{\beta_M} & M^A \end{array}$$

is commutative where i denotes inclusion and $\beta_M(m \otimes f)(a) = mf(a)$. Since $i \circ \alpha_M$ is injective, we get $id \otimes i$ is injective and $P \subseteq R^A$ is M -pure. ■

2.2. Rational Pairings. Let (C, Δ, ϵ) be an R -coalgebra and let (A, m, u) be an R -algebra.

DEFINITION 2.8. A *rational pairing* is a rational system $(C, A, \langle -, - \rangle)$ where C is an R -coalgebra, A is an R -algebra, and the map $\varphi : A \rightarrow C^*$ given by $\varphi(a)(c) = \langle c, a \rangle$ is a homomorphism of R -algebras. This is equivalent to requiring

$$\langle -, - \rangle \circ (id_C \otimes m) = (\langle -, - \rangle \otimes \langle -, - \rangle) \circ \tau_{(23)} \circ (\Delta \otimes id_A^{\otimes 2})$$

and

$$\epsilon = \langle -, - \rangle \circ (id_C \otimes u) = \langle -, 1 \rangle. \quad (2)$$

Now we can parallel the definition and properties of rational modules in [4]. The proofs are formally the same as in [4]. We include some of them for convenience of the reader.

DEFINITION 2.9. Let $T = (C, A, \langle -, - \rangle)$ be a rational pairing. An element m in a left A -module M is called *rational* (with respect to the pairing T) if there exist finite subsets $\{m_i\} \subseteq M$ and $\{c_i\} \subseteq C$ such that $am = \sum m_i \langle c_i, a \rangle$ for every $a \in A$. The subset $\{(m_i, c_i)\} \subseteq M \times C$ is called a *rational set of parameters for m* (with respect to the pairing T). The subset $\text{Rat}^T(M)$ of M consisting of all rational elements of M is clearly an R -submodule of M . A left A -module is called *rational* (with respect to the pairing T) if $M = \text{Rat}^T(M)$. The full subcategory of ${}_A\mathcal{M}$ whose objects are all the rational (with respect to the pairing T) left A -modules will be denoted by $\text{Rat}^T({}_A\mathcal{M})$. We use the notation Rat instead of Rat^T when the rational pairing T is clear from the context.

Remark 2.10. As a consequence of Proposition 2.1, if $\{(m_i, c_i)\} \subseteq M \times C$ is a rational set of parameters for m and $\sum m_i \otimes c_i = \sum n_j \otimes d_j$, then $\{(n_j, d_j)\} \subseteq M \times C$ is a rational set of parameters for m . In fact a rational set of parameters for m can be viewed as a representative of an element $\sum m_i \otimes c_i \in M \otimes C$.

Following [12], we will denote by $\sigma[{}_A C]$ the full subcategory of ${}_A\mathcal{M}$ consisting of all the left A -modules subgenerated by ${}_A C$. This means that a left A -module belongs to $\sigma[{}_A C]$ if and only if it is isomorphic to a submodule of a factor module of a direct sum of copies of ${}_A C$.

THEOREM 2.11. Let $T = (C, A, \langle -, - \rangle)$ be a rational pairing. Then

- (1) $\text{Rat} = \text{Rat}^T : {}_A\mathcal{M} \rightarrow {}_A\mathcal{M}$ is a left exact preradical.
- (2) The categories $\text{Rat}({}_A\mathcal{M})$ and \mathcal{M}^C are isomorphic.
- (3) $\text{Rat}({}_A\mathcal{M}) = \sigma[{}_A C]$.

Proof. The proofs of these facts are formally the same as [4, Propositions 2.9 and 2.10, Theorems 3.12 and 3.13], using Propositions 2.1 and 2.5 instead of [4, Propositions 2.3 and 2.2]. ■

The isomorphism given in Theorem 2.11 is defined in terms of sets of rational parameters: if $M \in \text{Rat}({}_A\mathcal{M})$ then the structure of right C comodule is $\omega_M(m) = \sum m_i \otimes c_i$ where $\{(m_i, c_i)\}$ is a set of rational parameters; if $(M, \delta_M) \in \mathcal{M}^C$ and $\delta_M(m) = \sum m_i \otimes c_i$, then $\{(m_i, c_i)\}$ is a set of rational parameters for m . See [4, Propositions 3.5 and 3.11] for details.

Sweedler's Σ -notation can be introduced in terms of sets of rational parameters. Let $T = (C, A\langle -, - \rangle)$ be a rational pairing. We have the injective R -linear map $\alpha_R : C \rightarrow A^*$ defined by

$$\begin{aligned}\alpha_R : C &\longrightarrow A^* \\ c &\longmapsto [a \mapsto \langle c, a \rangle].\end{aligned}$$

Let us regard A^* as a left A -module via $(a\lambda)(b) = \lambda(ba)$ and let us identify C with $\alpha_R(C)$. As in [4, Proposition 3.2], $C = \text{Rat}({}_A A^*)$. Note that the set of rational parameters for $c \in C$ is given by $\Delta(c)$. If $\{(c_1, c_2)\}_{(c)} = \{(c_1, c_2)\} \subseteq C \times C$ represents a set of rational parameters of $c \in C$ then the comultiplication can be represented as

$$\Delta(c) = \sum_{(c)} c_1 \otimes c_2 = \sum c_1 \otimes c_2.$$

Note that (2) means

$$\langle c, ab \rangle = \sum \langle c_1, a \rangle \langle c_2, b \rangle$$

in Σ -notation. Analogously, let $(M, \delta_M) \in \mathcal{M}^C$. The set of rational parameters for $m \in M$ is given by $\delta_M(m)$. We are going to use Sweedler's Σ -notation on C -comodules, i.e., $\delta_M(m) = \sum_{(m)} m_0 \otimes m_1$ where $\{(m_0, m_1)\}_{(m)} \subseteq M \times C$ represents an arbitrary set of rational parameters for $m \in M$.

2.3. The Finite Dual Coalgebra A° . In this subsection, the commutative ring R is assumed to be noetherian. Let A be an R -algebra. Recall that the canonical structure of an A -bimodule on A^* is given by

$$(af)(b) = f(ba) \quad \text{and} \quad (fa)(b) = f(ab) \quad \text{for } f \in A^* \text{ and } a, b \in A. \quad (3)$$

Let $A^\circ = \{f \in A^* \mid Af \text{ is finitely generated as an } R\text{-module}\}$. Then by [1, Proposition 2.6],

$$\begin{aligned}A^\circ &= \{f \in A^* \mid Af \text{ is finitely generated as an } R\text{-module}\} \\ &= \{f \in A^* \mid fA \text{ is finitely generated as an } R\text{-module}\} \\ &= \{f \in A^* \mid \ker f \text{ contains an } R\text{-cofinite ideal of } A\} \\ &= \{f \in A^* \mid \ker f \text{ contains an } R\text{-cofinite left ideal of } A\} \\ &= \{f \in A^* \mid \ker f \text{ contains an } R\text{-cofinite right ideal of } A\}.\end{aligned} \quad (4)$$

By [1, 2.3], A° is an A -subbimodule of A^* .

Remark 2.12. If A is finitely generated and projective in ${}_R\mathcal{M}$ then $A^\circ = A^*$ is pure in R^A . In this case $(A \otimes A)^* \simeq A^* \otimes A^*$.

By [1, Theorem 2.8], A° is an R -coalgebra whenever A° is a pure submodule of R^A . In this section we will prove that $(A^\circ, A, \langle -, - \rangle)$ is a rational pairing. So we are going to describe the right A° -comodules as rational left A -modules. This applies in particular when R is hereditary.

The next lemma is used to prove the rationality of the three-tuple $(A^\circ, A, \langle -, - \rangle)$.

LEMMA 2.13. *Let S be a set and let $f_1, \dots, f_n \in R^S$. Then there exist $s_1, \dots, s_m \in S$ and $g_1, \dots, g_m \in R^S$ such that*

$$\underline{f}_i = \sum f_i(s_l)g_l, \quad \text{for each } i = 1, \dots, n.$$

In particular, if A, P are R -modules and $\langle -, - \rangle : P \times A \rightarrow R$ a bilinear form such that α_R is injective, then P is mock-projective.

Proof. Define

$$\begin{aligned} \underline{f} : S &\longrightarrow R^n \\ s &\longmapsto (f_1(s), \dots, f_n(s)), \end{aligned}$$

and consider the R -submodule $M \subseteq R^n$ generated by the elements $\underline{f}(s)$, $s \in S$. Since R is noetherian, M is finitely generated, and there are $s_1, \dots, s_m \in S$ for which $M = \sum R\underline{f}(s_l)$. For any $s \in S$ we have the set

$$X(s) = \{(r_1, \dots, r_m) \in R^m \mid \underline{f}(s) = \sum r_l \underline{f}(s_l)\} \neq \emptyset.$$

For each $s \in S$, choose $r(s) \in X(s)$. This gives a map $r : S \rightarrow R^m$. Now, it is clear that there are maps $g_1, \dots, g_m \in R^S$ such that $r = (g_1, \dots, g_m)$. Finally, $\underline{f}(s) = \sum g_l(s)\underline{f}(s_l)$. But this is an equality in R^n , whence, for each $i = 1, \dots, n$ we obtain $\underline{f}_i(s) = \sum g_l(s)f_i(s_l)$, and hence $\underline{f}_i = \sum f_i(s_l)g_l$. ■

Remark 2.14. Assume α_R to be injective. Let M be an R -module. It follows directly from Lemma 2.13 and Proposition 2.7 that $P \subseteq R^A$ is M -pure if and only if α_M is injective.

PROPOSITION 2.15. *Let A be an R -algebra and assume A° is pure in R^A . Then*

(1) *A° is an R -coalgebra. If in addition A is a bialgebra (resp. Hopf algebra) then A° is a bialgebra (resp. Hopf algebra).*

(2) *Let B be an R -algebra such that B° is pure in R^B . For every morphism of R -algebras $\varphi : A \rightarrow B$ we have*

$$\varphi^*(B^\circ) \subseteq A^\circ;$$

*moreover $\varphi^\circ := \varphi^*_{|B^\circ}$ is an R -coalgebra morphism.*

(3) Let $C \subseteq A^\circ$ be a subcoalgebra. Consider the bilinear form

$$\begin{aligned} \langle -, - \rangle : C \times A &\longrightarrow R \\ (f, a) &\longmapsto \langle f, a \rangle = f(a). \end{aligned}$$

Then $(C, A, \langle -, - \rangle)$ is a rational pairing.

(4) If $(C, A, \langle -, - \rangle)$ is a rational pairing then C is an R -subcoalgebra of A° .

Proof. (1) By [1, Theorem 2.8], A° is a coalgebra. The rest of the first statement is similar to the argument in [5, 9.1.3] due to the fact that over noetherian rings, submodules of finitely generated modules are finitely generated.

(2) Let $f \in B^\circ$ and assume $I \subseteq B$ to be a cofinite left ideal contained in $\ker f$. Since R is noetherian, it is easy to check that $\varphi^{-1}(I) \subseteq A$ is a left cofinite ideal contained in $\ker(f \circ \varphi) = \ker(\varphi^*(f))$. A diagram chase shows that φ° is an R -coalgebra map and the second statement is proved.

(3) Equation (2) is clearly satisfied. By [13, 3.3], C is pure in A° , so Proposition 2.7 and Lemma 2.13 give injectivity of α_M for each R -module M .

(4) Since α_R is injective C can be viewed as an R -submodule of A^* . Let us see that $C \subseteq A^\circ$. If $c \in C$ and $\Delta(c) = \sum c_1 \otimes c_2$, then $ac = \sum c_1 \langle c_2, a \rangle$ by Eqs. (2) and (3). It follows that Ac is finitely generated by $\{c_1\}_{(c)}$ as an R -module for every $c \in C$. Moreover, Eq. (2) easily implies that the comultiplication and the counit on C are induced from A° . ■

COROLLARY 2.16. Let A be an R -algebra and assume A° is pure in R^A . Consider the bilinear form

$$\begin{aligned} [-, -] : A^\circ \times A^{\circ*} &\longrightarrow R \\ (f, \lambda) &\longmapsto [f, \lambda] = \lambda(f) \end{aligned}$$

for all $f \in A^\circ$ and $\lambda \in A^{\circ*}$. Then $(A^\circ, A^{\circ*}, [-, -])$ is a rational pairing.

Proof. Assume $\sum [f_i, \lambda] m_i = 0$ for all $\lambda \in A^{\circ*}$. Let $a \in A$ and consider

$$\begin{aligned} \langle -, a \rangle : A^\circ &\longrightarrow R \\ f &\longmapsto \langle f, a \rangle = f(a) \end{aligned}$$

for all $f \in A^\circ$. Then $\sum [f_i, \langle -, a \rangle] m_i = 0$ and so $\sum \langle f_i, a \rangle m_i = 0$ for all $a \in A$, which implies $\sum m_i \otimes f_i = 0$, since the pairing $(A^\circ, A, \langle -, - \rangle)$ is a rational pairing by Proposition 2.15. ■

As a consequence of Theorem 2.11, Propositions 2.15 and 2.1, and Corollary 2.16 we have:

THEOREM 2.17. *Let A be an R -algebra such that A° is pure in R^A . Let $\varphi : A \rightarrow A^{\circ*}$ be the canonical morphism and let $\varphi_* : {}_A\mathcal{M} \rightarrow {}_A\mathcal{M}$ be the restriction of scalars functor. Then*

- (1) *The functors $(-)^{A^\circ} : \text{Rat}({}_A\mathcal{M}) \rightarrow \mathcal{M}^{A^\circ}$ and $(-)^{A^\circ} : \text{Rat}({}_{A^{\circ*}}\mathcal{M}) \rightarrow \mathcal{M}^{A^\circ}$ are isomorphisms of categories.*
- (2) *$\text{Rat}({}_A\mathcal{M}) = \sigma[{}_AA^\circ]$ and $\text{Rat}({}_{A^{\circ*}}\mathcal{M}) = \sigma[{}_{A^{\circ*}}A^\circ]$.*
- (3) *The following diagram of functors is commutative*

$$\begin{array}{ccc}
 {}_{A^{\circ*}}\mathcal{M} & \xrightarrow{\varphi_*} & {}_A\mathcal{M} \\
 \text{Rat}^{T'} \downarrow & & \downarrow \text{Rat}^T \\
 \text{Rat}^{T'}({}_{A^{\circ*}}\mathcal{M}) & & \text{Rat}^T({}_A\mathcal{M}) \\
 & \searrow \cong & \swarrow \cong \\
 & (-)^{A^\circ} & (-)^{A^\circ} \\
 & & \mathcal{M}^{A^\circ}
 \end{array}$$

where $T = (A^\circ, A\langle -, - \rangle)$ and $T' = (A^\circ, A^{\circ*}, [-, -])$ are the canonical pairings.

3. AN APPLICATION: BLATTNER-MONTGOMERY DUALITY

We are going to prove a Blattner-Montgomery like theorem (see [5, Theorem 9.4.9]) when R is any commutative noetherian ring and $(H, m, u, \Delta, \epsilon, S)$ is a Hopf algebra such that H° is pure in R^H (this condition holds if H is R -projective and H° is pure in H^*). When R is a Dedekind domain, we obtain as a corollary the version given in [3].

We are going to recall some definitions and notations. A left H -module algebra is an R -algebra (A, m_A, u_A) such that A is a left H -module and m_A, u_A are H -module maps. This means in terms of Sweedler's notation,

$$h(ab) = \sum (h_1a)(h_2b) \quad \text{and} \quad h1_A = \epsilon(h)1_A.$$

Analogously A is a right H -comodule algebra if A is a right H -comodule (via $\rho : A \rightarrow A \otimes H$) and m_A, u_A are H -comodule maps, i.e.,

$$\rho(ab) = \sum a_0b_0 \otimes a_1b_1 \quad \text{and} \quad \rho(1_A) = 1_A \otimes 1_H.$$

Let A be a left H -module algebra where the H -module action is denoted by $w_A : H \otimes A \rightarrow A$. The following composition of maps

$$\begin{array}{ccc}
 (A \otimes H) \otimes (A \otimes H) & \xrightarrow{id \otimes \Delta \otimes id^{\otimes 2}} & A \otimes H \otimes H \otimes A \otimes H \\
 \downarrow m_{A \# H} & & \downarrow \tau_{(34)} \\
 & & A \otimes H \otimes A \otimes H \otimes H \\
 & & \downarrow id \otimes w_A \otimes id^{\otimes 2} \\
 A \otimes H & \xleftarrow{m_A \otimes m} & A \otimes A \otimes H \otimes H
 \end{array}$$

provides a structure of an associative R -algebra on $A \otimes H$. This algebra is called the smash product of A and H , and it is denoted by $A \# H$. In Sweedler's notation, the multiplication can be viewed as

$$(a \# h)(b \# k) = \sum a(h_1 b) \# h_2 k,$$

where $a \# h = a \otimes h$. Since H° is pure in R^H , by Proposition 2.15 we have $(H^\circ, \Delta^\circ, \epsilon^\circ, m^\circ, u^\circ, S^\circ)$ is a Hopf algebra. The left (and right) action of H on H° described in (3) makes H° a left (and right) H -module algebra (see [5, Example 4.1.10]). In order to make the notation consistent with the literature we denote the left (resp. right) action of H on H° by \rightharpoonup (resp. \leftharpoonup). Let U be a Hopf subalgebra of H° (by definition $U \subseteq H^\circ$ should be pure; see [13, 3.3]). Then U is also a left H -module algebra. The action can be described as

$$H \otimes U \xrightarrow{id \otimes m^\circ} H \otimes U \otimes U \xrightarrow{\tau_{(123)}} U \otimes H \otimes U \xrightarrow{\langle -, - \rangle \otimes id} U,$$

and in Sweedler's notation,

$$h \rightharpoonup f = \sum f_1 \langle f_2, h \rangle$$

which allows the construction of $U \# H$.

Analogously H is a left (resp. right) U -module algebra via

$$\begin{aligned}
 U \otimes H &\xrightarrow{id \otimes \Delta} U \otimes H \otimes H \xrightarrow{\tau_{(23)}} U \otimes H \otimes H \xrightarrow{\langle -, - \rangle \otimes id} H \\
 (\text{resp. } H \otimes U &\xrightarrow{\Delta \otimes id} H \otimes H \otimes U \xrightarrow{\tau_{(123)}} U \otimes H \otimes H \xrightarrow{\langle -, - \rangle \otimes id} H).
 \end{aligned}$$

This action is denoted by \rightharpoonup (resp. \leftharpoonup), and Sweedler's notation means

$$f \rightharpoonup h = \sum h_1 \langle f, h_2 \rangle \quad (\text{resp. } h \leftharpoonup f = \sum \langle f, h_1 \rangle h_2)$$

and we can construct $H \# U$.

These actions and constructions are analogous to the ones over a field. See [5, 1.6.5, 1.6.6, 4.1.10] for details. Following [5, Definition 9.4.1] we have the maps

$$\begin{aligned}\lambda : H \# U &\longrightarrow \text{End}_R(H) \\ h \# f &\longmapsto [k \mapsto h(f \rightharpoonup k)] \\ \rho : U \# H &\longrightarrow \text{End}_R(H) \\ f \# h &\longmapsto [k \mapsto (k \leftharpoonup f)h].\end{aligned}$$

LEMMA 3.1. *λ is an algebra morphism and ρ is an anti-algebra morphism. If also H has bijective antipode, then λ and ρ are injective.*

Proof. Following [5, Lemma 9.4.2], we consider λ , as the argument for ρ is similar. Straightforward computations show that λ is an algebra morphism. To see the injectivity we define $\lambda' : H \# U \rightarrow \text{End}_R(H)$ and $\psi : \text{End}_R(H) \rightarrow \text{End}_R(H)$ as

$$\begin{aligned}\lambda'(h \# f)(k) &= \langle f, k \rangle h \\ \psi(\sigma) &= (\sigma \otimes \bar{S}) \circ \tau \circ \Delta,\end{aligned}$$

where \bar{S} is the composition inverse of S . We can see that $\lambda' = \psi \circ \lambda$ as in [5, Lemma 9.4.2]. Moreover, $(U, H, \langle -, - \rangle)$ is a rational pairing by Proposition 2.15, so λ' is injective. ■

We say that U satisfies the RL-condition with respect to H if $\rho(U \# 1) \subseteq \lambda(H \# U)$.

Let (A, ρ_A) be a right U -comodule algebra. Then A is a left H -module algebra with action

$$H \otimes A \xrightarrow{id \otimes \rho_A} H \otimes A \otimes U \xrightarrow{\tau_{(132)}} A \otimes U \otimes H \xrightarrow{id \otimes \langle -, - \rangle} A, \quad (5)$$

or in Sweedler's notation,

$$ha = \sum a_0 \langle a_1, h \rangle.$$

THEOREM 3.2. *Let H be a Hopf algebra such that H° is pure in R^H , and let U be a Hopf subalgebra of H° . Assume that both H and U have bijective antipodes and U satisfies the RL-condition with respect to H . Let A be a right U -comodule algebra. Let U act on $A \# H$ by acting trivially on A and via \rightharpoonup on H . Then*

$$(A \# H) \# U \simeq A \otimes (H \# U).$$

Proof. The computations in [5, Theorem 9.4.9 and Lemma 9.4.10] remain valid here once we have proved Lemma 3.1. ■

Remark 3.3. Let R be a Dedekind domain and assume that A is an U -locally finite left H -module algebra and that U is R -closed in H° in the sense of [3]. By [3, Lemma 4], A is a rational left H -module which implies, by Theorem 2.17, that A is a right U -comodule algebra. Therefore, [3, Theorem 5] follows as a corollary of Theorem 3.2.

Remark 3.4. If H is cocommutative then U satisfies the RL-condition (see [5, 9.4.7 Example]), so examples in Subsections 4.2 and 4.3 and Example 4.7 satisfy the RL-condition. So let G be a group such that $R[G]^\circ$ is pure in $R^{R[G]}$ (if G is either finite or R is hereditary, this condition is satisfied), and let A be an R -algebra such that G acts as automorphisms on A . Then we have

$$(A \# R[G]) \# R[G]^\circ \cong A \otimes (R[G] \# R[G]^\circ).$$

4. EXAMPLES

In this section R is assumed to be noetherian. We are going to consider a class of R -algebras for which A° is pure in R^A (and hence A° has a structure of an R -coalgebra). For every R -algebra A let \mathcal{L}_{cof} be the linear topology on A whose basic neighborhoods of 0 are the R -cofinite left ideals, i.e.,

$$\mathcal{L}_{\text{cof}} = \{I \leq {}_A A \mid A/I \text{ is finitely generated as an } R\text{-module}\}.$$

4.1. The Category $\mathbf{P}_\ell \text{Alg}_R$.

DEFINITION 4.1 (Property \mathbf{P}_ℓ). An R -algebra A has property \mathbf{P}_ℓ in case the set

$$\mathcal{P}_{\text{cof}} = \{I \leq {}_A A \mid A/I \text{ is finitely generated and projective as an } R\text{-module}\}$$

is a basis for \mathcal{L}_{cof} ; i.e., for every left cofinite ideal I of A , there exists a left ideal $I_0 \subseteq I$, with A/I_0 finitely generated and projective as an R -module.

We denote by $\mathbf{P}_\ell \text{Alg}_R$ the full subcategory of Alg_R whose objects are all R -algebras which have property \mathbf{P}_ℓ .

PROPOSITION 4.2. *If $A \in \mathbf{P}_\ell \text{Alg}_R$, then $(A^\circ, A, \langle -, - \rangle)$ is a rational system.*

Proof. Let $M \in {}_R \mathcal{M}$ and let $\sum m_i \otimes f_i \in M \otimes A^\circ$. Assume $\sum m_i \langle f_i, a \rangle = 0$ for every $a \in A$. Notice that for each i , $f_i \in (A/I_i)^*$ for some cofinite ideal I_i of A . Put $J = \bigcap_i I_i$. Then J is cofinite. Since $A \in \mathbf{P}_\ell \text{Alg}_R$ there exists some ideal $J_0 \subseteq J$ such that A/J_0 is finitely generated and projective

as an R -module (and so $(A/J_0)^{**} \simeq A/J_0$). Let $\{a_\lambda + J_0, \phi_\lambda\}_\Lambda$ be a finite dual basis for $(A/J_0)^*$. Since $f_i \in (A/J_0)^*$ for all i , we get

$$\begin{aligned} \sum m_i \otimes f_i &= \sum m_i \otimes \sum \langle f_i, a_\lambda + J_0 \rangle \phi_\lambda \\ &= \sum (\sum \langle f_i, a_\lambda + J_0 \rangle m_i) \otimes \phi_\lambda \\ &= \sum 0 \otimes \phi_\lambda = 0 \quad (\text{notice that } f_i(J_0) = 0). \end{aligned}$$

Hence $(A^\circ, A, \langle -, - \rangle)$ is a rational system by Proposition 2.1. ■

COROLLARY 4.3. *If $A \in \mathbf{P}_\ell \text{Alg}_R$ then*

(1) A° is an R -coalgebra. If in addition A is a bialgebra (resp. Hopf algebra) then A° is a bialgebra (resp. Hopf algebra).

(2) $(A^\circ, A, \langle -, - \rangle)$ is a rational pairing.

Proof. It follows directly from Propositions 2.7, 2.15, and 4.2. ■

Remark 4.4. By (4), the proof of Proposition 4.2 remains true if we replace left ideals in property \mathbf{P}_ℓ by right or two sided ones. So we can speak of property \mathbf{P}_r or property \mathbf{P} .

Remark 4.5. If $A \in \mathbf{P}_\ell \text{Alg}_R$, then

$$\begin{aligned} A^{\circ*} &= \text{Hom}_R(A^\circ, R) = \text{Hom}_R\left(\varinjlim_{I \in \mathcal{I}_{\text{cof}}} (A/I)^*, R\right) \\ &\simeq \text{Hom}_R\left(\varprojlim_{I \in \mathcal{I}_{\text{cof}}} (A/I)^*, R\right) \\ &\simeq \varprojlim_{I \in \mathcal{I}_{\text{cof}}} (A/I)^{**} \simeq \varprojlim_{I \in \mathcal{I}_{\text{cof}}} A/I \\ &\simeq \varprojlim_{I \in \mathcal{I}_{\text{cof}}} A/I = \widehat{A}, \end{aligned}$$

which means that $A^{\circ*} \simeq \widehat{A}$, the completion of A with respect to the cofinite topology.

PROPOSITION 4.6. *Let A be in $\mathbf{P}_\ell \text{Alg}_R$ and let B be an R -algebra extension of A such that B is finitely generated and projective in \mathcal{M}_A . Then B belongs to $\mathbf{P}_\ell \text{Alg}_R$.*

Proof. Let $J \leq B$ be a cofinite left ideal. Then $J \cap A \leq A$ is a cofinite left ideal because R is noetherian. Since A belongs to $\mathbf{P}_\ell \text{Alg}_R$, there exists $I_0 \subseteq J \cap A$ such that A/I_0 is finitely generated and projective in ${}_R \mathcal{M}$. By the natural isomorphism

$$\text{Hom}_R\left(B \otimes_A \frac{A}{I_0}, -\right) \cong \text{Hom}_A\left(B, \text{Hom}_R\left(\frac{A}{I_0}, -\right)\right).$$

$B \otimes_A (A/I_0)$ is finitely generated and projective in ${}_R\mathcal{M}$. Since $BI_0 \subseteq J$ and $B \otimes_A (A/I_0) \cong B/BI_0$ we get B is in $P_\ell \text{Alg}_R$. ■

EXAMPLE 4.7. Let G be a group. An R -algebra is called G -graded if for every $\sigma \in G$ there exists an R -submodule $A_\sigma \subseteq A$ such that $A = \bigoplus_{\sigma \in G} A_\sigma$ and $A_\sigma A_\tau \subseteq A_{\sigma\tau}$. If in addition $A_\sigma A_\tau = A_{\sigma\tau}$, A is called strongly graded. Let G be finite with neutral element e and let A be a strongly G -graded R -algebra. By [6, I.3.3 Corollary] it is clear that A is finitely generated and projective as right A_e -module, so if A_e is in $P_\ell \text{Alg}_R$ then A also belongs to $P_\ell \text{Alg}_R$. In particular, if A is in $P_\ell \text{Alg}_R$ and G is a finite group, then a crossed product $A * G$ also belongs to $P_\ell \text{Alg}_R$. Crossed products cover the following cases: if $A \in P_\ell \text{Alg}_R$ then $A[G]$, $A^t[G]$, $AG \in P_\ell \text{Alg}_R$ where $A[G]$ is the group algebra, $A^t[G]$ is the twisted group algebra, and AG is the skew group algebra. See [7] for an introduction on crossed products.

Our aim is the proof of Theorem 4.10, which was shown in [10, Lemma 6.0.1] for algebras over fields and in [3] for algebras over Dedekind domains. However, we need some technical statements.

LEMMA 4.8. *Let M and N be two R -modules and consider submodules $M' \subseteq M$ and $N' \subseteq N$. Assume M' to be N -pure and N' to be M -pure (this is in particular valid if M and N are flat in ${}_R\mathcal{M}$). Then*

$$M/M' \otimes N/N' \simeq (M \otimes N)/(M' \otimes N + M \otimes N').$$

Proof. By purity $M' \otimes N$ and $M \otimes N'$ are R -submodules of $M \otimes N$. Since the diagram

$$\begin{array}{ccc} M \otimes N & \longrightarrow & M/M' \otimes N \\ \downarrow & & \downarrow \\ M \otimes N/N' & \longrightarrow & M/M' \otimes N/N' \end{array}$$

is a pushout diagram, the result follows. ■

PROPOSITION 4.9. *Let A, B be algebras in $P_\ell \text{Alg}_R$.*

(1) *If $K \leq A \otimes B$ is a cofinite left ideal then there exist $I_0 \leq A$ and $J_0 \leq B$ such that A/I_0 and B/J_0 are finitely generated and projective in ${}_R\mathcal{M}$, and so that $I_0 \otimes B + A \otimes J_0 \subseteq K$.*

(2) *The R -algebra $A \otimes B$ belongs to $P_\ell \text{Alg}_R$.*

Proof. Consider the canonical maps

$$\begin{aligned} \alpha : A &\longrightarrow A \otimes B \\ a &\longmapsto a \otimes 1 \end{aligned}$$

and

$$\beta : B \longrightarrow A \otimes B$$

$$b \longmapsto 1 \otimes b.$$

Put $I = \alpha^{-1}(K)$ and $J = \beta^{-1}(K)$. Since R is noetherian, I and J are cofinite left ideals of A and B , respectively. Since $A, B \in \mathbf{P}_\ell \mathbf{Alg}_R$ there exist $I_0 \subseteq I$ and $J_0 \subseteq J$ such that A/I_0 and B/J_0 are finitely generated and projective in ${}_R \mathcal{M}$. Let $K_0 = I_0 \otimes B + A \otimes J_0$. Since $I_0 \leq A$ and $J_0 \leq B$ are pure submodules we have $K_0 \subseteq K$ as desired.

By Lemma 4.8,

$$\frac{A \otimes B}{K_0} \simeq \frac{A}{I_0} \otimes \frac{B}{J_0},$$

hence $(A \otimes B)/K_0$ is finitely generated and projective and $A \otimes B$ is in $\mathbf{P}_\ell \mathbf{Alg}_R$. ■

THEOREM 4.10. *Let A, B be in $\mathbf{P}_\ell \mathbf{Alg}_R$. Then there is a canonical isomorphism $A^\circ \otimes B^\circ \simeq (A \otimes B)^\circ$.*

Proof. Since A, B are in $\mathbf{P}_\ell \mathbf{Alg}_R$, A° is pure in R^A and B° is pure in R^B by Propositions 4.2 and 2.7. So $A^\circ \otimes B^\circ \subseteq R^A \otimes R^B$. Let π be the morphism

$$\pi : R^A \otimes R^B \longmapsto R^{A \times B}$$

$$f \otimes g \longmapsto [(a, b) \mapsto f(a)g(b)].$$

By [1, Proposition 1.2] this map is injective, so the statement will be clear once we have seen $\pi(A^\circ \otimes B^\circ) = (A \otimes B)^\circ$. So let $f \otimes g \in A^\circ \otimes B^\circ$ and let $I \subseteq A$ and $J \subseteq B$ be left ideals contained in $\ker f$ and $\ker g$, respectively, and such that $A/I, B/J$ are finitely generated and projective (they exist because A, B belong to $\mathbf{P}_\ell \mathbf{Alg}_R$). Since $I \subseteq A$ and $J \subseteq B$ are pure, by Lemma 4.8, $I \otimes B + A \otimes J \subseteq A \otimes B$ is a cofinite left ideal, which is contained in $\ker(\pi(f \otimes g))$. As $\pi(f \otimes g)$ is bilinear it is clear that $\pi(A^\circ \otimes B^\circ) \subseteq (A \otimes B)^\circ$.

Let $h \in (A \otimes B)^\circ$ and assume $K \subseteq A \otimes B$ to be a cofinite left ideal contained in $\ker h$. By Proposition 4.9 there exist left ideals $I_0 \leq A$ and $J_0 \leq B$ such that A/I_0 and B/J_0 are finitely generated and projective in ${}_R \mathcal{M}$ and so that $I_0 \otimes B + A \otimes J_0 \subseteq K$. By Lemma 4.8 there is an epimorphism

$$\frac{A}{I_0} \otimes \frac{B}{J_0} \rightarrow \frac{A \otimes B}{K} \rightarrow 0$$

which induces a monomorphism

$$0 \rightarrow \left(\frac{A \otimes B}{K} \right)^* \rightarrow \left(\frac{A}{I_0} \otimes \frac{B}{J_0} \right)^* \simeq \left(\frac{A}{I_0} \right)^* \otimes \left(\frac{B}{J_0} \right)^* \subseteq A^\circ \otimes B^\circ.$$

So there exist elements $f_1, \dots, f_n \in (A/I_0)^* \subseteq A^\circ$ and $g_1, \dots, g_n \in (B/J_0)^* \subseteq B^\circ$ such that $\pi(\sum f_i \otimes g_i) = h$. This completes the proof. ■

We finish with some examples.

4.2. The R -Bialgebra $R[x_1, \dots, x_n]^\circ$. By [1, Proposition 3.1], every cofinite ideal $I \leq R[x]$ contains a monic polynomial $f(x)$. Put $I_0 = (f(x)) \subseteq I$. Then $R[x]/I_0$ is finitely generated and projective (in fact free). Hence $R[x]$ is in $\mathbf{P}_\ell \mathbf{Alg}_R$ and so $R[x]^\circ$ is an R -coalgebra by Corollary 4.3. Moreover, $R[x_1, \dots, x_n]$ belongs to $\mathbf{P}_\ell \mathbf{Alg}_R$ by Proposition 4.9. There are two canonical bialgebra structures on $R[x_1, \dots, x_n]$. The first one comes from the semigroup algebra structure of $R[x_1, \dots, x_n]$ (i.e., every x_i is a group-like element), and the second one appears when we see $R[x_1, \dots, x_n]$ as the enveloping algebra of an abelian Lie algebra (i.e., every x_i is a primitive element). The latter one is a Hopf algebra structure. By Corollary 4.3, $R[x_1, \dots, x_n]^\circ$ is a bialgebra (resp. Hopf algebra).

It follows from Proposition 4.9 that if A belongs to $\mathbf{P}_\ell \mathbf{Alg}_R$ then $A[x_1, \dots, x_n]$ is in $\mathbf{P}_\ell \mathbf{Alg}_R$.

4.3. The Hopf R -Algebra of Laurent Polynomials.

DEFINITION 4.11. A monic polynomial $q(x) \in R[x]$ is called reversible if $q(0)$ is a unit in R . An ideal $I \subseteq R[x, x^{-1}]$ is called reversible if it contains a reversible polynomial $q(x)$.

LEMMA 4.12. *Let $q(x) \in R[x]$ be a reversible polynomial. Then*

$$R[x]/(q(x)) \simeq R[x, x^{-1}]/(q(x)).$$

Proof. Let $q(x) = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0$ be a reversible polynomial (i.e., a_0 in a unit in R). Notice

$$R[x, x^{-1}]/(q(x)) \simeq R[x, y]/(xy - 1, q(x)).$$

Put $I = (xy - 1, q(x))$ and consider the R -linear map

$$\Psi : R[x] \longrightarrow R[x, y]/I$$

$$x \longmapsto x + I.$$

Clearly Ψ is an R -algebra homomorphism and $\ker(\Psi) = I \cap R[x]$. Moreover, $I \cap R[x] = R[x]q(x)$. Clearly Ψ is surjective if and only if $y + I \in \text{im}(\Psi)$. Notice

$$\begin{aligned} yq(x) - x^{n-1}(xy - 1) &= a_0y + a_1yx + \dots + a_{n-1}yx^{n-1} + x^{n-1} \\ &= a_0y + a_1 + a_2x + \dots + a_{n-1}x^{n-2} + x^{n-1} \pmod{(I)}. \end{aligned}$$

So

$$y = -a_0^{-1}[x^{n-1} + a_{n-1}x^{n-2} + \dots + a_1] \pmod{(I)}.$$

Hence $y \in \text{im}(\Psi)$ and we conclude that Ψ is surjective. ■

PROPOSITION 4.13. (1) Let $I \subseteq R[x, x^{-1}]$ be a reversible ideal. Then $R[x, x^{-1}]/I$ is finitely generated as an R -module.

(2) Let R be noetherian. Assume $R[x, x^{-1}]/I$ to be finitely generated as an R -module. Then I is a reversible ideal.

Proof. (1) Let $I \subseteq R[x, x^{-1}]$ be a reversible ideal. Then I contains a reversible polynomial $q(x)$. By Lemma 4.12, $R[x, x^{-1}]/(q(x)) \simeq R[x]/(q(x))$ which implies, by [1, Proposition 3.1], that $R[x, x^{-1}]/(q(x))$ is finitely generated as an R -module. Therefore, $R[x, x^{-1}]/I$ is finitely generated as an R -module.

(2) Since $R[x]/(R[x] \cap I)$ embeds in the finitely generated R -module $R[x, x^{-1}]/I$, we get that $R[x]/(R[x] \cap I)$ is finitely generated as an R -module. By [1], there exists a monic polynomial $f_1(x) = a_0 + a_1x + \cdots + x^n \in I \cap R[x]$. We know $R[x, x^{-1}]$ is a Hopf R -algebra with antipode

$$\begin{aligned} S : R[x, x^{-1}] &\longrightarrow R[x, x^{-1}] \\ x &\longmapsto x^{-1}. \end{aligned}$$

Since S is bijective, $R[x, x^{-1}]/I \simeq R[x, x^{-1}]/S(I)$ as R -modules. So there exists a monic $f_2(x) = b_0 + \cdots + b_{m-1}x^{m-1} + x^m \in S(I) \cap R[x]$. Hence we have that $q(x) = x^m(f_1(x) + S(f_2(x))) \in I$. An easy computation shows that

$$q(x) = 1 + b_{m-1}x + \cdots + (b_0 + a_0)x^m + \cdots + a_{n-1}x^{n+m-1} + x^{n+m}$$

and I contains the reversible polynomial $q(x)$. By Lemma 4.12

$$R[x, x^{-1}]/(q(x)) \simeq R[x]/(q(x))$$

and so is finitely generated and projective (in fact free) as an R -module. ■

THEOREM 4.14. Let R be noetherian and let A be in $\mathbf{P}_\ell \mathbf{Alg}_R$. Then $A[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$ belongs to $\mathbf{P}_\ell \mathbf{Alg}_R$. In particular $R[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]^\circ$ is a Hopf algebra.

Proof. By Proposition 4.13 and Lemma 4.12 it is easy to see that $R[x, x^{-1}]$ is in $\mathbf{P}_\ell \mathbf{Alg}_R$, so the first statement follows from Proposition 4.9. Since $R[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$ is a group algebra, the last assertion follows from Corollary 4.3. ■

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